



16076 U.S. PTO

**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

☐ **Duplicate**
(check, if applicable)

MAIL STOP PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.: 8861-385U1
First Named Inventor: Kiyoshi Masaki
Express Mail Label No.: EL 960413255 US
Total Pages of Transmittal Form: 2

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10/718119



Transmitted herewith for filing is the non-provisional utility patent application entitled:

DISK DRIVE APPARATUS

which is:

an ☐ Original; or
a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)
of prior Application No. 09/509,231 filed June 8, 2000.
Anticipated Group/Art Unit: 2813.

Enclosed are:

- ☒ Application Data Sheet.
- ☒ Specification (including Abstract) and claims: 221 pages.
- ☒ 64 sheets of drawings (formal).
- ☒ Copy of Declaration from prior application.
- ☒ An assignment of the invention was recorded on June 8, 2000 at Reel 010926, Frame 0917 in prior application No. 09/509,231 filed June 8, 2000.
- ☒ Name of Assignee: Matsushita Electric Industrial Co., Ltd.
- ☒ Certified copies of Japanese Application Nos.
Hei 9-260101 filed September 25, 1997;
Hei 9-344160 filed November 27, 1997; and
Hei 10-267250 filed September 3, 1998
are filed in prior application 09/509,231 filed June 8, 2000.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☒ Information Disclosure Statement, PTO/SB/08A (2 sheets), and copies of foreign patent documents and non-patent literature.

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$385			\$770	
Total	4-20 =	0	X9	\$	OR	X18	\$ 0
Independent	4- 3=	1	X43	\$	OR	X86	\$ 86
[] Multiple Dependent Claims Present			\$145	\$	OR	\$290	\$
			TOTAL	\$	OR	TOTAL	\$856

[X] A check in the amount of **\$856.00** to cover the filing is enclosed.

[X] The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 208861.0481)** as noted below. A duplicate copy of this sheet is enclosed.

[X] Any overpayments or deficiencies in the above-calculated fee.

[] Filing fee in the amount of \$_____ as calculated above.

[X] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

[X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS

November 19, 2003
(Date)

By:

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Enclosures